

# 5: Special Matrices

Motivation:

- What are quantum algorithms other than applying a unitary transformation (matrix) to a unit vector?
- This is why we need to talk about special families of matrices.
- These families are important and have applications other than quantum algorithms.

## 5.1 Hadamard Matrices

Aside: Hadamard Matrices were discovered by Joseph Sylvester, not Jacques Hadamard. (1867)

Def: The Hadamard matrix  $H_N$  of order  $N$  is defined recursively by  $H_2 = H$  +  $N \geq 4$

$$H_N = H_{N/2} \otimes H = \frac{1}{\sqrt{2}} \begin{bmatrix} H_{N/2} & H_{N/2} \\ H_{N/2} & -H_{N/2} \end{bmatrix}$$

Notation: if it is based on  $n$  not  $N$  we denote  $H^{\otimes n}$

$$H_1 = [1]$$

$$H_2 = \frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

Ex:  $H_4$ ?

$$H_4 = H_{4/2} \otimes H = H_2 \otimes H_2$$

$$= \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix} \otimes \begin{bmatrix} 1 & 1 \\ 1 & -1 \end{bmatrix}$$

$$\frac{1}{\sqrt{2}} \begin{bmatrix} 1 & 1 & 1 & 1 \\ 1 & -1 & 1 & -1 \\ 1 & 1 & -1 & -1 \\ 1 & -1 & -1 & 1 \end{bmatrix}$$

Sometimes we want the direct way to solve, not a recursive definition.

Lemma: For any row  $r$  and column  $c$ ,

$$H_n[r, c] = (-1)^{r \cdot c}$$

where  $r \cdot c$  is inner product of  $r$  &  $c$  treated as Boolean strings

Relating to the example, we see the first  $-1$  is in the  $[1,1]$  place.

$$H_2[1,1] = (-1)^{1-1} = (-1)^{(0,1) \cdot (0,1)} = (-1)^{0+1} = (-1)^1$$

Proof: we prove inductively

Above example is our base case.  
Next we do inductive hypothesis  
" $n \rightarrow n+1$  or  $N \rightarrow 2N$ "

$$H_{2N} = \begin{bmatrix} H_N & H_N \\ H_N & -H_N \end{bmatrix}$$

The first digit in  $x \oplus y$  is now just the one bit case so we only see change in sign in the  $[1,1]$  block and  $1 \cdot 1 \oplus H_N$

$$(-1)^{1+H_N} \Rightarrow (-1)^{\text{sign}(H_N)}$$

I hope this next step (which sort of looks like pseudocode) makes sense for actually inputting into our algorithms.

Corollary: For any vector  $\bar{a}$ , the vector  $\bar{b} = H_N \bar{a} :=$

$$\bar{b}(x) = \frac{1}{\sqrt{N}} \sum_{t=0}^{N-1} (-1)^{x \cdot t} \bar{a}(t)$$

## 5.2 Fourier Matrices

Let  $\omega = e^{2\pi i/N}$

Def: The Fourier Matrix  $F_N$  of order  $N$

$$\frac{1}{\sqrt{N}} \begin{bmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & \omega & \omega^2 & \omega^3 & \dots & \omega^{N-1} \\ 1 & \omega^2 & \omega^4 & \omega^6 & \dots & \omega^{N-2} \\ 1 & \omega^3 & \omega^6 & \omega^9 & \dots & \omega^{N-2} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & \omega^{N-1} & \omega^{N-2} & \omega^{N-3} & \dots & \omega \end{bmatrix}$$

That is  $F_N[i, j] = \omega^{ij \bmod N}$  divided by  $\sqrt{N}$

Corollary: For any vector  $\bar{a}$ , the vector  $\bar{b} = F_N \bar{a} :=$

$$\bar{b}(x) = \frac{1}{\sqrt{N}} \sum_{t=0}^{N-1} \omega^{x \cdot t} \bar{a}(t)$$

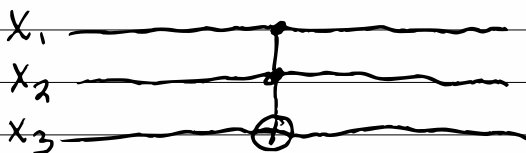
This should look familiar. The subtle distinctions between  $\pm 1$  vs  $\omega$  and inner product vs. multiplication is the difference which separates Shor's and Simon's algorithm.

### 5.3 Reversible Computation & Permutation Matrices

Definition: The Toffoli Gate

It is a universal reversible Logic gate. which means any classical reversible circuit can be constructed by a Toffoli Gate

It has 3 inputs and is also called the controlled-control-not, or CCNOT



It might help to see the truth table and permutation matrix  $X$

Input			Output		
$x_1$	$x_2$	$x_3$	$x_1$	$x_2$	$x_3 \oplus (x_1 \wedge x_2)$
0	0	0	0	0	0
0	0	1	0	0	1
0	1	0	0	1	0
0	1	1	0	1	1
1	0	0	1	0	0
1	0	1	1	0	1
1	1	0	1	1	1
1	1	1	1	1	0

$$TOF = \begin{bmatrix} I_6 & 0 \\ 0 & \begin{matrix} 0 & 1 \\ 1 & 0 \end{matrix} \end{bmatrix}$$

$$TOF(x_1, x_2, x_3) = (x_1, x_2, x_3 \oplus (x_1 \wedge x_2))$$

$$\text{Not}(a) = TOF(1, 1, a)$$

$$\text{AND}(a, b) = TOF(a, b, 0)$$

Theorem: All classically feasible Boolean functions  $f$  have feasible quantum computations in the form of  $P_f$ .

Proof: Recall AND & NOT are universal logic gates. Let  $C$  be a circuit computing  $f(x_1, \dots, x_n)$  using  $r$ -many NOT and  $s$ -many ANDs. As you can see above we have a way to encode NOT in a  $2 \times 2$  matrix.

$$X = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

We now turn our attention to  $s$ -many AND gates, using  $\text{AND}(a, b) = \text{TOF}(a, b, 0)$  but we might need duplicate copies on each wire coming out of the gate.

We add ancilla  $z$  for each wire  $w$  coming from  $C$  then using TOF, we get  $z \oplus (a \wedge b)$  with  $z = |0\rangle$ . TOF gates have controls that if are the same don't change each other

So the overhead is bounded by  $w$  wires in circuit  $C$ , which is polynomial, and all added ancilla bits obey the convention of being initialized to 0.



Take away: Permutation matrix is feasible if it is induced by classical feasible function on quantum coordinates.

## 5.4 Feasible Diagonal Matrices

Recall: Any diagonal matrix whose entries are  $\pm 1$  is unitary.

$$U = \begin{pmatrix} \pm 1 & & \\ & \ddots & \\ & & \pm 1 \end{pmatrix} \quad U^T = \begin{pmatrix} \pm 1 & & \\ & \ddots & \\ & & \pm 1 \end{pmatrix}$$

$$UU^T = I$$

But is  $U$  feasible?

If size of  $U$  is small  $\Rightarrow$  basic  $\Rightarrow$  feasible

$S \subseteq \{0, 1\}^N$  for  $N \times N$  matrix

$$U_S[x, x] = \begin{cases} -1 & x \in S \\ 1 & \text{otherwise} \end{cases}$$

But this is still doubly exponentially large, which means most aren't feasible



Def: When  $S$  is a set of arguments that  $f(S) = 1$  we write  $U_f$  which is called the Grover Oracle for  $f$ .

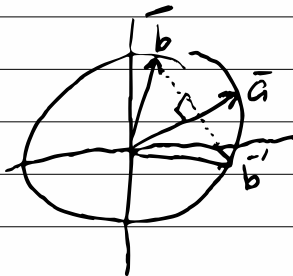
Theorem: If  $f$  is a feasible boolean function, then the Grover Oracle,  $U_f$  is feasible.

Proof idea: Apply Hadamard matrix to  $e_x$  for  $F(x, y) = (x \oplus f(x))$  then we have flipped everything, apply another  $H$  and NOT we can flip everything back

$$e_x \mapsto (-1)^{f(x)} e_x$$

## 5.5 Reflections

Def. given any unit vector  $\vec{a}$ , we can create a unitary operator  $R_{\vec{a}}$ , which reflects another unit vector  $\vec{b}$  around  $\vec{a}$

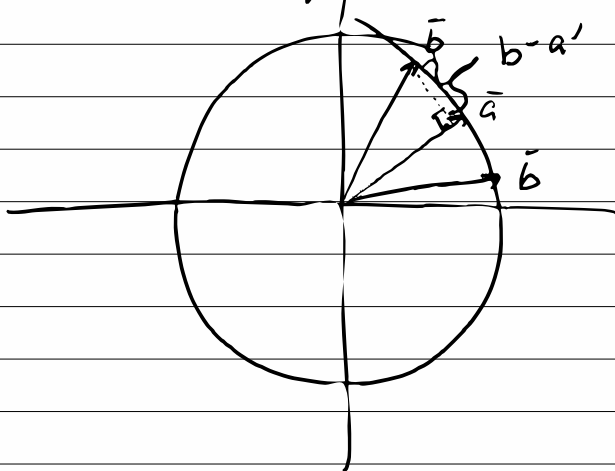


$b \rightarrow b'$  preserves the unit sphere and is its own inverse.  $\Rightarrow$  Unitary

The point on  $\bar{a}$  is the projection of  $\bar{b}$  onto  $\bar{a}$  which is  $a' = a \langle a, b \rangle$

$$b' = b - 2(b - a \langle a, b \rangle) \\ = (2P_a - I)b$$

where  $P_a$  is operation:  $\forall b \ P_a b = a \langle a, b \rangle$



Ex: Let  $\bar{a}$  be unit vector with entries  $\frac{1}{\sqrt{N}}$ , we call  $J$ . Then Projector is matrix whose entries are all  $\frac{1}{N}$ , which we call  $J$

$$\text{Ref} = V = 2J - I = \begin{bmatrix} \frac{2}{N} - 1 & \frac{2}{N} & \cdots & \frac{2}{N} \\ \frac{2}{N} & \frac{2}{N} - 1 & \cdots & \frac{2}{N} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{2}{N} & \frac{2}{N} & \cdots & \frac{2}{N} - 1 \end{bmatrix}$$

This is feasible! But are there other feasible reflection operations?

Let  $\bar{a}$  be a characteristic vector of nonempty set  $S$ ,

$$\bar{a}(x) = \begin{cases} 1/\sqrt{|S|} & \text{if } x \in S \\ 0 & \text{otherwise} \end{cases}$$

Let's apply  $\text{Ref}_{\bar{a}}$  to  $\bar{b}$  that  $e = \bar{b}(x)$  for  $x \in S$  are equal. Let  $k = |S|$

then  $\langle \bar{a}, \bar{b} \rangle = k e / \sqrt{k} = e \sqrt{k}$  and

$a' = P_{\bar{a}} \bar{b}$  we get

$$a'(x) = \begin{cases} e & \text{if } x \in S \\ 0 & \end{cases}$$

$$\begin{aligned} b' &= 2a' - b \\ &= \begin{cases} b(x) & \text{if } x \in S \\ -b(x) & \text{else} \end{cases} \end{aligned}$$

because  $b'(x) = 2e - b(x) = 2e - e = e = b(x)$   
and  $x \notin S$   $b'(x) = -b(x)$

This is the Grover oracle of complement of  $S$  which negation of feasible boolean function is feasible.

Theorem:  $\forall$  feasible Boolean functions  $f$ , provided we restrict to linear subspace of argument vectors whose entries indexed by "true set"  $S_f$  of  $S$  are equal, reflection about the characteristic vector  $S_f$  is feasible quantum operation.

Proof idea: set of argument vectors form a linear subspace & contain  $j$  or the start vector. Reflecting  $j$  by  $\bar{a}$  or  $\bar{b}$  applied to vectors in the subspace spanned by  $\bar{a}, \bar{b}$  stay in the subspace.